Applicant: Sean M. Megley Attorney's Docket No.: 16190-002001

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Amendments to the Claims:

This listing of claims replaces all prior versions and listings of claims in the application:

Listing of Claims:

1. (Currently Amended) A computer-implement compliance management method for estimating an extent to which a plurality of enterprise elements comply with applicable rules, the method comprising:

providing an enterprise knowledge-base containing information representative of enterprise elements;

providing a rules knowledge-base containing information representative of <u>the</u> applicable rules;

defining rule associations between the applicable rules and the enterprise elements; [[and]]

assigning compliance scores to the rule associations, the compliance scores being indicative of an extent to which the enterprise elements comply with the applicable rules; and

providing, to a compliance officer, a tangible output representative of the extent.

- 2. (Original) The method of claim 1, further comprising graphically displaying the compliance scores.
- 3. (Original) The method of claim 2, wherein displaying the compliance scores comprises displaying a cardinality of rule associations having a selected range of compliance scores.

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4. (Original) The method of claim 2, wherein displaying the compliance scores comprises displaying a histogram chart of a cardinality of rule associations having each of a plurality of ranges of compliance scores.

- 5. (Original) The method of claim 1, further comprising displaying a tree view of the enterprise knowledge-base.
- 6. (Original) The method of claim 5, wherein displaying the tree view comprises displaying a compliance indicator in association with an enterprise element, the compliance indicator being indicative of a compliance score associated with the enterprise element.
- 7. (Original) The method of claim 1, further comprising associating remediation policies with the rule associations.
- 8. (Currently Amended) The method of claim 1, further comprising providing a graphical user interface for controlling [[the]] a citation process and [[the]] a evaluation process.
- 9. (Currently Amended) A computer-readable medium having encoded thereon software for estimating an extent to which enterprise elements comply with applicable rules, the software having instructions that, when executed by a computer, cause the computer to:

provide an enterprise knowledge-base containing information representative of the enterprise elements;

provide rules knowledge-base containing information representative of the applicable rules;

define rule associations between the applicable rules and the enterprise elements; and assign compliance scores to the rule associations, the compliance scores being indicative of an extent to which the enterprise elements comply with the applicable rules.

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10. (Original) The computer-readable medium of claim 9, wherein the software further comprises instructions for causing the computer to graphically display the compliance scores.

11. (Cancelled)

12. (Cancelled)

13. (Original) The computer-readable medium of claim 9, wherein the software further comprises instructions for causing the computer to display a tree view of the enterprise knowledge-base.

14. (Cancelled)

- 15. (Original) The computer-readable medium of claim 9, wherein the software further comprises instructions for causing the computer to associating remediation policies with the rule associations.
- 16. (Currently Amended) The computer-readable medium of claim 9, wherein the software further comprises instructions for causing the computer to providing a graphical user interface for controlling [[the]] a citation process and [[the]] a evaluation process.
- 17. (Currently Amended) A compliance-management system for estimating an extent to which a plurality of enterprise elements comply with applicable rules, the method comprising:
 - a data storage subsystem having encoded thereon
 - an enterprise knowledge-base containing information representative of enterprise elements, and
 - a rules knowledge-base containing information representative of applicable rules; and

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a processing subsystem in data communication with the data storage subsystem, the processing subsystem being configured to execute

- a citation process for defining rule associations between the applicable rules and the enterprise elements; and
- an evaluation process for assigning compliance scores to the rule associations, the compliance scores being indicative of an extent to which the enterprise elements comply with the applicable rules.
- **18.** (Original) The system of claim 17, wherein the processing subsystem is configured to execute a compliance-display process for graphically displaying the compliance scores.

19. (Cancelled)

- 20. (Original) The system of claim 18, wherein the compliance-display process is configured to display a histogram chart of a cardinality of rule associations having each of a plurality of ranges of compliance scores.
- 21. (Original) The system of claim 17, wherein the processing subsystem is configured to execute a tree-view process for providing a tree view of the enterprise knowledge-base.
- 22. (Original) The system of claim 21, wherein the tree-view process is configured to display a compliance indicator in association with an enterprise element, the compliance indicator being indicative of a compliance score associated with the enterprise element.
- 23. (Original) The system of claim 17, wherein the processing subsystem is configured to execute a remediation process for associating remediation policies with the rule associations.

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24. (Currently Amended) The system of claim 17, wherein the processing subsystem [[us]] is configured to execute a switchboard process for providing a graphical user interface for controlling the citation process and the evaluation process.